



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John C. Hiatt, Jr., et al.

Appl. No.: 10/017,040

Conf. No.:

Filed: December 6, 2001

Title: DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR
CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A
STANDARD OPTION

Art Unit: 2161

Examiner:

Docket No.: 113609-002

Commissioner for Patents

Washington, DC 20231

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Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (Duplicate);
2. Preliminary Amendment (3 Pages); and
3. Return Receipt Postcard.

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on May 1, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buccini

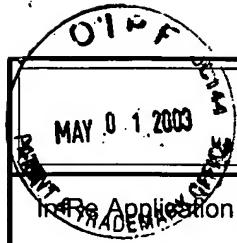
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TRANSMITTAL LETTER
(General - Patent Pending)Docket No.
113609-002

In re Application Of: John C. Hiatt, Jr., et al.

Serial No.
10/017,040Filing Date
12/06/2001

Examiner

Group Art Unit
2161Title: **DELAYED START FINANCIAL INSTRUMENT ANDMETHOD FOR CONVERTNG DELAYED START FINANCIAL INSTRUMENT TO A STANDARD OPTION**TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Jeffrey H. Canfield, Esq. (38,404)
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Chicago, Illinois 60690-1135
Telephone: (312) 807-4233

Dated: May 1, 2003

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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
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2161

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